

Notice of Meeting

Audit and Governance Committee



SURREY
COUNTY COUNCIL

Date & time

Wednesday, 8
March 2023
at 10.00 am

Place

Surrey County
Council,
Council Chamber,
Woodhatch Place, 11
Cockshot Hill, Reigate,
Surrey, RH2 8EF

Contact

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**Chief Executive**

Joanna Killian

We're on Twitter:
[@SCCdemocracy](https://twitter.com/SCCdemocracy)

If you would like a copy of this agenda or the attached papers in another format, e.g. large print or braille, or another language please either call 07929 725663 or email amelia.christopher@surreycc.gov.uk.

This meeting will be held in public. If you would like to attend, please contact Amelia Christopher.

Please be aware that a link to view a live recording of the meeting will be available on the Audit and Governance Committee page on the Surrey County Council website. This page can be accessed by following the link below:

<https://mycouncil.surreycc.gov.uk/ieListMeetings.aspx?CId=168&Year=0>

Members

Victor Lewanski (Chairman), Stephen Cooksey, Joanne Sexton, Richard Tear (Vice-Chairman), Mark Sugden and Saj Hussain

Independent Member

Terry Price

AGENDA

1 APOLOGIES FOR ABSENCE AND SUBSTITUTIONS

To receive any apologies for absence and substitutions.

2 MINUTES OF THE PREVIOUS MEETING - 18 JANUARY 2023

(Pages 1
- 6)

To agree the minutes as a true record of the meeting.

3 DECLARATIONS OF INTEREST

All Members present are required to declare, at this point in the meeting or as soon as possible thereafter

- (i) Any disclosable pecuniary interests and / or

- (ii) Other interests arising under the Code of Conduct in respect of any item(s) of business being considered at this meeting

NOTES:

- Members are reminded that they must not participate in any item where they have a disclosable pecuniary interest

- As well as an interest of the Member, this includes any interest, of which the Member is aware, that relates to the Member's spouse or civil partner (or any person with whom the Member is living as a spouse or civil partner)

- Members with a significant personal interest may participate in the discussion and vote on that matter unless that interest could be reasonably regarded as prejudicial.

4 QUESTIONS AND PETITIONS

To receive any questions or petitions.

Notes:

1. The deadline for Member's questions is 12.00pm four working days before the meeting (*2 March 2023*).
2. The deadline for public questions is seven days before the meeting (*1 March 2023*).
3. The deadline for petitions was 14 days before the meeting, and no petitions have been received.

5 RECOMMENDATIONS TRACKER AND WORK PLAN

(Pages 7
- 14)

To review the Committee's recommendations tracker and work plan.

6 STATEMENT OF ACCOUNTS 2021/22

(Pages
15 - 274)

To inform the Committee of the result of the external audit of the council's 2021/22 Statement of Accounts, to receive the external auditor's final Audit Findings Report and to approve the council's letter of representation from the Council's s151 Officer (Deputy Chief Executive & Executive Director of Resources).

- 7 INTERNAL AUDIT PROGRESS REPORT - QUARTER 3** (Pages 275 - 290)
- The purpose of this progress report is to inform members of the work completed by Internal Audit between 1 October 2022 and 30 December 2022.
- The current annual plan for Internal Audit is contained within the Internal Audit Strategy and Annual Plan 2022-23, which was approved by this Committee on 28 March 2022.
- 8 INTERNAL AUDIT STRATEGY AND ANNUAL AUDIT PLAN 2023/24** (Pages 291 - 318)
- The purpose of this report is to present the Internal Audit Strategy and Annual Internal Audit Plan for 2023/24 to the Committee.
- Under-pinning the work of the Orbis Internal Audit Service in delivering the Annual Internal Audit Plan are the key principles and objectives as set out in the Internal Audit Strategy and Charter. These are presented alongside the Annual Internal Audit Plan for 2023/24 as good practice dictates that these should be updated and reviewed on an annual basis.
- 9 ETHICAL STANDARDS ANNUAL REVIEW 2022-23** (Pages 319 - 322)
- To enable the Committee to monitor the operation of the Members' Code of Conduct over the course of the last year.
- 10 RISK MANAGEMENT** (Pages 323 - 332)
- This paper provides both a forward perspective in terms of the external operating environment and planned activities over the next financial year, as well as a review of the findings from two recent audits on risk management.
- 11 REVISED FINANCIAL REGULATIONS** (Pages 333 - 362)
- The Financial Regulations provide the framework of control, responsibility and accountability for the proper administration of the Council's financial affairs. The Council's current processes and procedures are reflected within the Financial Regulations.
- The Financial Regulations are part of the Constitution – Part 5 – Rules of Procedure. Any changes to the Financial Regulations require Council to approve any amendments. In advance of this and as part of the Regulatory Framework, the Audit & Governance Committee is asked to consider the amendments.
- 12 DATE OF NEXT MEETING**
- The next meeting of the Audit and Governance Committee will be on 10 May 2023.

**Joanna Killian
Chief Executive**

Published: Tuesday, 28 February 2023

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